



DUE DILIGENCE FORM FOR ENTITIES

Before completing this form, please refer to Appendix "A" hereto

SECTION 1: FORMATION DETAILS
REGISTERED NAME OF ENTITY:
TRADING NAME:
TYPE OF ENTITY:
JURISDICTION OF FORMATION:
REGISTRATION NO.:
COUNTRY OF INCORPORATION:
DATE OF INCORPORATION:
PURPOSE AND DESCRIPTION OF THE COMPANY'S MAIN BUSINESS ACTIVITIES:
COUNTRIES/ DISTRICTS OF MAIN BUSINESS ACTIVITIES:
MEMBERS OF THE BOARD OF DIRECTORS:



HOLDING STRUCTURE:

Please outline below or provide us with a chart showing the Ownership structure, including subsidiaries/associates/companies/individuals/trusts/foundations leading up to the individual UBO(s):

If a publicly quoted company/or s subsidiary of such company:

Name of Stock Exchange and the country that the company is registered in:

Listing symbol:

If a limited company (not listed in a Stock Exchange):

Names of registered/direct shareholder(s) & Percentage of shares:

Names of ultimate beneficial owners (must be physical person(s)):

Percentage of shares:

Persons authorised to operate the account(s) of the company:

Persons authorised to act on behalf of the company:

Contact Person(s):



Line of Business, principal activities, products, services etc:
Share Capital:
Annual Turnover and profit (Copy of the latest report and counts, if applicable):
Registered Offices Address:
Trading office address:
Number of employees:
Telephone Number: Fax Number: Email Address: Website:
Name and contact details of the company's: Lawyers: Accountants: Other professional Advisors:

If a trust:
Name & type of settlor(s):
Address of settlor(s):
Nationality of settlor(s):
Occupation of settlor(s):
Name & type of trustee(s):
Name & type of beneficiary(ies):
Address of beneficiary(ies):
Nationality of beneficiary(ies):
Occupation of beneficiary(ies):
Other persons possessing considerable powers in the Trust (e.g. Protector, any investment advisor, account, any tax advisor) please specify:

If a partnership:
Name & type of general partner(s): (a general partner is a partner who participates fully in the profits, losses and management of a partnership and who is personally liable (i.e. jointly and severally with other general partners) for the partnership debts.)



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SECTION 2: SOURCE OF WEALTH/ANNUAL INCOME
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SOURCE OF WEALTH:
EXPECTED ANNUAL TURNOVER:
EXPECTED DESTINATION OF OUTGOING PAYMENTS:
EXPECTED ORIGIN OF INCOMING FUNDS:
SHARE CAPITAL:
CAPITAL CURRENCY:

SECTION 3: BANK ACCOUNT DETAILS (FOR OPENING BANK ACCOUNT (S))

BANK NAME (S):
CURRENCY(IES):
SIGNATORY (IES):

SECTION 4: CONTACT DETAILS

REGISTERED OFFICE ADDRESS:
HEAD OFFICE/ PRINCIPAL TRADING OFFICE ADDRESS:
TELEPHONE NO.: FAX NO.:
EMAIL ADDRESS:



SECTION 5: DOCUMENTS CHECKLIST
In addition to this form, please submit the following:
IF PUBLICLY QUOTED COMPANY
<ul style="list-style-type: none"> • Evidence of Listing (special attention should be given to the evidence that the individual representing the company has the necessary authority to do so. This evidence should be sought and retained)
<ul style="list-style-type: none"> • Evidence as to who is authorised to bind the Company
IF LIMITED COMPANY
<ul style="list-style-type: none"> • Memorandum and Articles of Association
<ul style="list-style-type: none"> • Certificate of Incorporation
<ul style="list-style-type: none"> • Certificate of Good Standing
<ul style="list-style-type: none"> • Certificate of Incumbency
<ul style="list-style-type: none"> • The Trust Deed
<ul style="list-style-type: none"> • Certificates of Officers/Directors/Shareholders
<ul style="list-style-type: none"> • Certificates of Registered Office and Address
<ul style="list-style-type: none"> • List of Authorised Signatories
IF TRUST
<ul style="list-style-type: none"> • The Trust Deed
<ul style="list-style-type: none"> • Certificate of Registration
IF PARTNERSHIP
<ul style="list-style-type: none"> • The Partnership Agreement
<ul style="list-style-type: none"> • Evidence who binds the Partnership
<ul style="list-style-type: none"> • Certificate of Partners
<ul style="list-style-type: none"> • Place of business address
<ul style="list-style-type: none"> • Certificate of Partnership registration
IF CLUBS/SOCIETIES/CHARITIES
<ul style="list-style-type: none"> • Certificate of Registration
<ul style="list-style-type: none"> • Incorporation Documents
<ul style="list-style-type: none"> • Purpose of its operations
<ul style="list-style-type: none"> • Identification of signatories

All documents submitted must either be in original form or copies certified as true copies of the original by a Notary Public or an Embassy, Consulate or High Commission or Apostille



By signing this form you confirm and declare that:

- a) You must have not been engaged in or have benefited from criminal conduct in any part of the world and funds which are subject to the proposed arrangement do not wholly or in part directly or indirectly represent the proceeds of criminal conduct.
- b) The information given hereunder and in the documents requested hereby is to the best of your knowledge true and accurate as at the date hereof, and should there be any changes in the information so provided you undertake to promptly advise our law firm of the same in writing.

Signature: _____

Full Name: _____

Capacity: _____

Date: _____



Appendix “A”

This form must be completed and signed by each entity (not a natural person) who is:

1. Involved in the ownership chain, which begins with the intended owners of the shares in the relevant Companies and ends with all ultimate beneficial owners leading up to natural person(s) or a publicly quoted company, whether in the capacity of a (i) registered/direct shareholder and/or (ii) intermediate beneficial owner or (iii) ultimate beneficial owner of the Company (if a publicly quoted company)
2. A director or other officer and/or authorized signatory or who will control, manage or direct the management of the Company.